



**WHAT
HAPPENS
IF YOUR
COMPLIANCE
MANAGER
LEAVES?**



Suddenly:

01. Critical filings aren't tracked

02. Regulatory nuances disappear

03. Audit trails are incomplete

04. Hunt for information starts

05. Teams scramble to "figure things out"



Compliance shouldn't depend on:

- Memory
- People
- Spreadsheets
- Follow-ups



It should be:



Institutionalized



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